State University of New York College at Plattsburgh
Professional Employees’ Compensation and Promotion Plan

Approved by Deans' Cabinet August 31, 2011
Approved by President’s Cabinet September 20, 2011

Starting Salary Compensation
Factors to include when determining the starting Salary Level (SL) of new professional positions include the: SUNY Job Specification; scope of responsibility; problem complexity; consequence of errors; autonomy; knowledge, skills and abilities required; and supervisory responsibility. Once the appropriate SL is determined and approved by Human Resource Services, the minimum SUNY salary for the job and our current salary structure are factors that will be analyzed when setting the hiring range.

<table>
<thead>
<tr>
<th>Salary Level</th>
<th>SUNY Salary Minimum July 2011 Calendar Year</th>
<th>SUNY Plattsburgh’s Average Salary July 2011 Calendar Year</th>
<th>SUNY Plattsburgh’s Avg Yrs of Service July 2011 Calendar Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>SL-6</td>
<td>$72,370</td>
<td>$94,646</td>
<td>15</td>
</tr>
<tr>
<td>SL-5</td>
<td>$59,228</td>
<td>$88,358</td>
<td>19</td>
</tr>
<tr>
<td>SL-4</td>
<td>$49,372</td>
<td>$68,647</td>
<td>14</td>
</tr>
<tr>
<td>SL-3</td>
<td>$42,801</td>
<td>$53,207</td>
<td>10</td>
</tr>
<tr>
<td>SL-2</td>
<td>$37,873</td>
<td>$47,558</td>
<td>6</td>
</tr>
<tr>
<td>SL-1</td>
<td>$32,945</td>
<td>$32,945</td>
<td>1</td>
</tr>
</tbody>
</table>

Factors to consider when determining the successful candidates starting salary within the hiring range include the applicant’s related experience and credentials within the required job qualifications; and the hiring department’s current salary structure.

Note: While salary maximum amounts for each SL are not included here they do exist and can only be exceeded with proper justification and Chancellor’s approval.

SUNY Job Specifications and College Year salary data can be obtained by contacting Human Resource Services or at http://www.brockport.edu/~shra/ttlspecs1.htm.
**Promotion Compensation**
From the Agreement between the State of New York and United University Professions, "Promotion" shall mean an increase in a professional employee's basic annual salary accompanied by movement to a higher salary level with a change in title:

resulting from a permanent significant increase or change in the employee's duties and responsibilities as a consequence of movement from one position to another of greater scope and complexity of function at the same or different campus; or

resulting from a permanent significant increase in the employee's duties and responsibilities as a consequence of a permanent increase in the scope and complexity of function of the employee's position.

Hence, professional employees can be promoted in a variety of ways.

**Change in Title**
Professional employees can be promoted (appointed) to a new position by applying through the search process.

According to the Plan for Internal Professional Promotions, UUP and SUNY Plattsburgh management recognize that certain situations will justify professional promotions being made to vacant positions that bypass the search process. For example, an assistant director or associate director may be the best person qualified to fill their department's vacated director position, and in those instances, posting the position will not be necessary. These determinations will be made by management after weighing: (1) fairness to the professional employee by allowing an appropriate promotional opportunity, (2) campus affirmative action considerations, and (3) the greater needs of the campus.

Compensation associated with promotion to a vacant position is typically made in accordance with the Starting Salary Compensation (addressed above) and the difference is added to the base annual salary.

Note: In cases of a *change in professional title* The State University of New York Policies of the Board of Trustees provides in § 5: A professional employee holding a term appointment or permanent appointment in professional title who is appointed to a different professional title at the same college, shall be given a probationary appointment in the different professional title. At any time during the probationary appointment, the appointing authority may require the employee to return to his or her former professional title and such action shall be accomplished as soon as practicable.

**Request for promotion within current position (with change in budget title and SL)**
An employee and/or supervisor may request a promotion (new budget title and SL) when the employee has assumed a permanent and significant increase in the employee's duties and responsibilities as a consequence of a permanent increase in the scope and complexity of function of the employee’s position. An approved promotion will result in a change in salary, budget title and SL.
Compensation associated with a promotion is made in accordance with the following schedule and is added to the base annual salary.

<table>
<thead>
<tr>
<th>From</th>
<th>To</th>
<th>Increase</th>
</tr>
</thead>
<tbody>
<tr>
<td>SL-1</td>
<td>SL-2</td>
<td>$2,500</td>
</tr>
<tr>
<td>SL-2</td>
<td>SL-3</td>
<td>$3,000</td>
</tr>
<tr>
<td>SL-3</td>
<td>SL-4</td>
<td>$3,500</td>
</tr>
<tr>
<td>SL-4</td>
<td>SL-5</td>
<td>$4,000</td>
</tr>
<tr>
<td>SL-5</td>
<td>SL-6</td>
<td>$4,500</td>
</tr>
</tbody>
</table>

Note: The salary increase must reach a salary rate which is at least equal to the minimum salary for the new SL.

**Request for salary increase within current position (without change in budget title or SL)**

An employee and/or supervisor may request a salary increase (without change in budget title or SL) when the employee has assumed a permanent and significant increase in duties and responsibilities as demonstrated by the employee's performance program. This salary increase does not result in a change to the employee's budget title or SL.

When reviewing requests for salary increases, the following will be used as a guideline to determine the actual amount of salary increase if the request is approved:

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Scale</th>
</tr>
</thead>
<tbody>
<tr>
<td>Increase in responsibilities</td>
<td>Minimal</td>
</tr>
<tr>
<td>Job knowledge, skills, abilities related to new/increased duties and responsibilities</td>
<td>Minimal</td>
</tr>
<tr>
<td>Changes in significance, complexity, scope, and autonomy</td>
<td>Minimal</td>
</tr>
<tr>
<td>Current salary relative to SUNY Plattsburgh peers with similar competencies</td>
<td>High</td>
</tr>
<tr>
<td>Increase</td>
<td>Up to 3 %</td>
</tr>
</tbody>
</table>

Notes: Any promotion or salary increase requires an updated Performance Program that clearly reflects the changes warranting the salary increase or promotion.

Promotion and salary increase requests made by the employee and not approved by the supervisor can be reviewed in Cycle 6 of the Performance Review Calendars for Professional Employees in compliance with the Memoranda of Understanding between SUNY and UUP relating to Promotion for Professional Employees (Appendix A-28) which includes an optional review by the College Review Panel.
**Temporary Salary Increase (Extra Service or Also Receives)**

Employees who assume a higher level position on an interim basis or who serve in an acting capacity for a period of time exceeding one month may be paid additional money via Also Receives (additional responsibility) or Extra Service (additional duties). There is no change to the employee’s base pay.

The additional amount to be paid is typically made in accordance with the starting salary compensation (addressed above) and a review of the additional responsibilities and duties for the temporary duration.

Note: Maximum Extra Service or Also Receives compensation for full-time Calendar Year obligation is 20% of base pay per year (July-June).

**Other Considerations**

The receipt of new credentials such as degree or certification as well as inequities in department or campus salaries does not automatically qualify an employee for a promotion or salary increase. It would be more appropriate to utilize the Discretionary Salary Increase (DSI) program in these incidences.

**Definitions as Used in this Document:**

**Acting**: An employee is placed in charge during the temporary absence of a superior or assumes responsibility for a higher level position during the temporary absence of another employee. This is not typically utilized unless for a period greater than one month.

**Autonomy**: Considers the extent to which an incumbent is free to make commitments on behalf of the department and take action unapproved by others. It also considers the direction provided by policy and precedent.

**Complexity**: The difficulty, intricacy, involvement, or level of complication of the duties and responsibilities.

**Consequence of Errors**: Looks at the effect on services, costs and programs in the event of error.

**Interim**: An employee assumes full responsibility for a position that is vacant.

**Knowledge, Skills and Abilities Required**: the special qualifications and personal attributes that are needed for a particular job.

**Permanent**: Long term in duration without an anticipation of the duties coming to an end. Assuming additional duties to cover for an employee on leave, sabbatical, or temporary assignment does not constitute a promotion or salary increase.
**Problem Complexity:** Independent judgment and problem solving with ability to use creative, analytical, fact finding and assessment in decision making.

**Scope:** The range, extent, capacity, or span that an employee’s responsibilities cover, the scale or reach of an employee’s responsibilities.

**Significant:** Results in a substantive impact on an employee’s daily work life. Not typically a task or responsibility that is done occasionally.

**Supervisory Responsibility:** Number of employees supervised, as well as organizational lines of supervision.

**Sources Used in the Creation of this Document:**
SUNY Plattsburgh’s Plan for Internal Professional Promotions
The State University of New York Policies of the Board of Trustees
Agreement between the State of New York and United University Professions (2007-2011)
Point Factor Analysis, SUNY Professional Service Unit 08 Positions
Compensation Program SUNY Cortland
Process for Promotions SUNY Potsdam